



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/033,470	12/28/2001	Richard E. Smalley	11321-P012USD9

Ross Spencer Garsson  
Suite 800  
100 Congress Avenue  
Austin, TX 78701

CONFIRMATION NO. 9933

## FORMALITIES LETTER



\*OC000000007769955\*

Date Mailed: 04/03/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Abstract must be on a separate sheet.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS  
ORIGINALLY FILED



- 1 -

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Serial No.: 10/033,470

Filed: December 28, 2001

Art Unit: 1754

Examiner: Not Assigned

For: METHODS FOR PRODUCING COMPOSITES OF SINGLE-WALL  
CARBON NANOTUBES AND COMPOSITIONS THEREOFCOPY OF PAPERS  
ORIGINALLY FILED**APPLICANTS' RESPONSE TO  
PTO'S NOTICE TO FILE CORRECTED APPLICATION PAPERS**Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

1. ☒ This replies to the Notice to File Corrected Application Papers mailed  
April 3, 2002.
- ☒ A copy of the Notice to File Corrected Application Papers is attached.

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on May 20, 2002.

  
SignatureGracie Solis

(Printed name of person certifying)

11321-P012USD9

PATENT

ABSTRACT

2. ☒ Enclosed is the Abstract on a separate sheet.

PAYMENT OF FEES

3. ☐ Enclosed is a check in the amount of \$\_\_\_\_\_ (Surcharge fee) and a check for \$\_\_\_\_\_ (Abstract).
- ☐ Charge Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_. **A photocopy of this request is enclosed.**
- ☒ Applicants believe no fees are due at this time. However, should any fees be required, the Commissioner is hereby authorized to charge any deficiency in fees, or credit any overpayment, to Account No. 23-2426 (11321-P012USD9). **A photocopy of this request is enclosed.**

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By: \_\_\_\_\_

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